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INSTITUTIONS AND INSTITUTIONAL DESIGN

Erling Berge

Part III: The customary foundation of institutions

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Literature:

 Douglas, Mary 1987 "How Institutions Think", London, Routledge and Kegan Paul

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Main points from Searle 1995

- · Institutions are social facts
- They exist if and only if the relevant group of people agree that they exist
- Formal institutions are founded on "background capabilities"
- Background capabilities can be seen as a system of informal institutions, or more general, as culture

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Searle vs. Douglas

- Searle starts from linguistics and an epistemology and works towards and understanding of how social institutions are created
- Douglas starts from social interactions and works towards and understanding of how a language and an epistemology are created by institutions

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Douglas 1986: Institutions affect our thinking

Main theme of the book:

- Knowledge and moral are collective (shared) goods and standards of behaviour
- Individuals in crisis do not make life and death decisions on their own. Our institutions decides.
- True solidarity is possible to the extent that individuals share the categories of their thought

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Smallness of Scale Discounted

 Rational choice models must apply also in small groups and religious organisations

Facts:

- Individuals submit their private interests to the good of others
- · Altruistic behaviour can be observed
- Groups affect the thinking of their members

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How latent groups survive

A functional explanation of the form

- Y (function) is an effect of X (structure)
- Y is beneficial for the group Z
- Y is unintended by actions producing X
- The causal relation between X and Y is unrecognised by actors in Z
- Y maintains X by a causal feedback loop through Z

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Latent groups: weak leadership

- Weak leadership (Y) is the result of threats to leave (X) the group Z
- Y is beneficial for Z since it makes it possible to resist unwanted demands on private resources
- Y is unintended (actually despised)
- Y is not recognized as an effect of X
- Y stops development of coercive coordination powers, and hence maintains X

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Latent groups: boundary maintenance

- A well defined group boundary (Y) is an effect of insistence on equality and 100% participation in group activities (X)
- Y is good for Z (consolidating membership)
- · Y is unintended as an effect of X
- Y is unrecognised as an effect of X
- The boundary Y maintains X

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Latent groups: thought style

- Shared belief in an evil conspiracy (Y) is the effect of mutual accusations of betrayal of the founding principles of the society (X)
- Y is beneficial for Z
- · Y is unintended
- Y is unrecognised as an effect of X
- The feedback loop can be explained as originating in the need to check exploitative behaviour

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Latent group: stabilization

- C(belief in conspiracy) is an effect of A(weak leadership) and B(strong boundary)
- C is beneficial for the group Z in keeping the community in being
- · C is unintended
- The causal ink between C and A+B is unperceived
- C maintains A+B by actually splitting the community or expelling when treachery is suspected, producing a history to make every would-be leader nervous

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Institutions are founded on analogy

- · Conventions are minimal institutions
 - Conventions are self-policing
 - Conventions are fragile,
- Douglas defines institution as a legitimate social grouping. Most established institutions will rest their legitimacy on fit with the nature of the universe

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From social to natural

• The transition from social to natural goes by way of analogies:

- Woman- Left- People- King

 The transition from simple complementarity to political hierarchy occurs without problems

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Institutions confer identity

- Discourse requires agreement on fundamental categories
- How do we establish "sameness" in science (or elsewhere)?
- Fundamental shift from scientific classification to a socially inspired – no smooth transition
- Sameness is conferred upon elements within a coherent scheme

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Institutions remember and forget

- Structural amnesia:
 - Evans-Pritchard: Nuer. The number of generations remembered are linked to the system of debts incurred at marriage, (and the number of lineages founded originally).
 - Merton: Multiple discoveries in science. "a distinctive social order generates a pattern of values, commits the hearts of its members, and creates a myopia which certainly seems inevitable."

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A case of institutional forgetting

- Frederick Bartlett wanted to study how institutions affect our cognition. His career is a self-referencing instance of the claim that psychologists are institutionally incapable of remembering that humans are social beings.
- The principle of coherence enables a speculation to become established and then escape oblivion

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Institutions do the classifying (1)

- Marx: Thought styles of a period are tailored to the concerns of the dominant class
- Foucault: Institutions straightjacket minds and bodies
- But institutions do not have intentions.
- Institutions systematically direct individual memory and channel our perceptions into forms compatible with the relations they authorize

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Institutions do the classifying (2)

- The high triumph of institutional thinking is to make the institution completely invisible
- People->institutions->classifications-> actions->naming/labelling->people
 The emergence of new classifications is an interesting process. New labels creates new behaviour.

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Institutions make life and death decisions (1)

Institutions stabilizing needs explanation

To stabilize an institution needs

- Legitimacy by distinctive grounding in nature and in reason
- To give its members a set of analogies with which to explore the world and with which to justify the naturalness and reasonableness of the institutional rules

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Institutions make life and death decisions (2)

- Then it starts to control the memory of its members
- 4. It causes them to forget experiences incompatible with its righteous image
- 5. It brings to their minds events which sustain the view of nature that is complementary to itself.
- It provides the categories of their thought, sets the terms of self-knowledge, and fixes identities.

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Institutions make life and death decisions (3)

Then it secures the social edifice by sacralizing the principles of justice.

Three characteristics of the sacred

- 1. It is dangerous
- 2. Attacks on it rouses emotions in its defence
- 3. It is invoked explicitly

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Institutions make life and death decisions (4)

- Is there a substantive principle of justice?
- Can different principles of justice be compared?
- Hume: one system may be more just than another in two ways:
 - 1. Coherence in the way it organizes social behaviour
 - 2. Amount of arbitrariness in the rules

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Institutions make life and death decisions (5)

Two other criteria:

- 3. Complexity: is it too complex to be understood?
- 4. Practicality: is the system available in the situations needed?

Recognizing the social origin of ideas of justice does not commit us to refrain from judging between systems.

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Culture in Interaction

- Nina Eliasoph and Paul Lichterman 2003 Culture in Interaction
- AJS Volume 108 Number 4 (January 2003): 735-94

Abstract

How does culture work in everyday settings? Current social research often theorizes culture as "collective representations" - vocabularies, symbols, or codes - that structure people's abilities to think and act. Missing is an account of how groups use collective representations in everyday interaction. The authors use two ethnographic cases to develop a concept of "group style," showing how implicit, culturally patterned styles of membership filter collective representations. The result is "culture in interaction," which complements research in the sociology of emotion, neo-institutionalism, the reproduction of inequality, and other work, by showing how groups put culture to use in everyday life. to use in everyday life.

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